



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **KIMURA, Shizuo, et al.**

Attention: Applications Division

Serial Number: **10/560,835**

Group Art Unit: **3617**

Filed: **May 31, 2006**

P.T.O. Confirmation No.: **6851**

For: **WHEEL RIM, WHEEL, AND METHODS OF PRODUCING THEM**

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: December 15, 2006

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the official Filing Receipt, we noted errors in that **four applicants has been omitted and should read as - -NAOTAKE MARUI, SHIZUOKA-KEN, JAPAN, JIN FUKUDA, SAITAMA-KEN, TAISEI WAKISAKA, SAITAMA-KEN, JAPAN, HARUO MACHIDA, SAITAMA-KEN, JAPAN-**. A copy of the **Declaration, and Request to Correct Inventorship** is enclosed which indicates the correct information. We are enclosing a copy of the filing receipt with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, KRATZ, QUINTOS,  
HANSON & BROOKS, LLP

*William L. Brooks*  
William L. Brooks  
Attorney for Applicants  
Reg. No. 34,129

WLB/bjb  
Atty. Docket No. **050828**  
Suite 1000  
1725 K Street, N.W.  
Washington, D.C. 20006  
(202) 659-2930



**23850**

PATENT TRADEMARK OFFICE

Enclosures: Official Filing Receipt; Declaration and Request to Correct Inventorship



## UNITED STATES PATENT AND TRADEMARK OFFICE

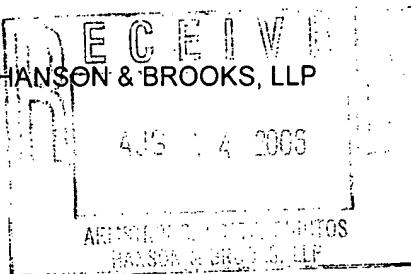


UNITED STATES DEPARTMENT OF COMMERCE  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/560,835	05/31/2006	3617	1680	050828	40	16	5

CONFIRMATION NO. 6851

23850  
ARMSTRONG, KRATZ, QUINTOS, HANSON & BROOKS, LLP  
1725 K STREET, NW  
SUITE 1000  
WASHINGTON, DC 20006



## FILING RECEIPT



\*0C000000019921035\*

Date Mailed: 08/08/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Shizuo Kimura, Saitama-ken, JAPAN; Naotake Marui, Shizuoka-Ken Japan  
 Kenzo Takeda, Saitama-ken, JAPAN; Jin Fukuda, Saitama-Ken Japan  
 Ikuo Kato, Saitama-ken, JAPAN; Tai Sei Wakisaka, Saitama-Ken Japan  
 Yukio Uchiyama, Saitama-ken, JAPAN; Nobuyuki Kakiya, Saitama-ken, JAPAN; Haruo Machida, Saitama-Ken Japan  
 Tadashi Goto, Saitama-ken, JAPAN; Kiyoshi Satou, Shizuoka-ken, JAPAN;  
 Shinichi Ohnaka, Saitama-ken, JAPAN; Tatsuo Yamanaka, Shizuoka-ken, JAPAN;

**Power of Attorney:** The patent practitioners associated with Customer Number 23850.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/08543 06/17/2004

## Foreign Applications

JAPAN 2003171828 06/17/2003  
 JAPAN 2003172930 06/18/2003  
 JAPAN 2003172935 06/18/2003  
 JAPAN 2003270938 07/04/2003  
 JAPAN 2003274042 07/14/2003  
 JAPAN 2003289148 08/07/2003

If Required, Foreign Filing License Granted: 08/05/2006

The country code and number of your priority application, to be used for filing abroad under the Paris *Su*

8/16/06  
8/16/06

Convention, is **US10/560,835**

**Projected Publication Date:** 11/16/2006

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Wheel rim, wheel, and methods of producing them

**Preliminary Class**

301

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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### **LICENSE FOR FOREIGN FILING UNDER**

**Title 35, United States Code, Section 184**

**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

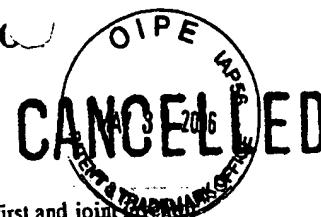
#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Docket No.

## DECLARATION FOR U.S. PATENT APPLICATION



As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

WHEEL RIM, WHEEL, AND METHODS OF PRODUCING THEM

the specification of which is attached hereto unless the following is checked

 was filed on JUNE 17, 2004 as United States Application Number or PCT InternationalApplication Number PCT/JP2004/8543 (U.S. NATIONAL PHASE 10/560,835) and was amended on DECEMBER 15, 2005 (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 (a) – (d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application for which priority is claimed.

## Priority Claimed

(List prior foreign applications. See note A)

<u>Patent Application No. 2003-171828</u> (Number)	<u>JAPAN</u> (Country)	<u>17/June/2003</u> (Day/Month/Year Filed)
<u>Patent Application No. 2003-172930</u> (Number)	<u>JAPAN</u> (Country)	<u>18/June/2003</u> (Day/Month/Year Filed)
<u>Patent Application No. 2003-172935</u> (Number)	<u>JAPAN</u> (Country)	<u>18/June/2003</u> (Day/Month/Year Filed)
<u>Patent Application No. 2003-270938</u> (Number)	<u>JAPAN</u> (Country)	<u>4/July/2003</u> (Day/Month/Year Filed)

 Yes  No Yes  No Yes  No Yes  No

(See note B)

 See attached list for additional prior foreign applications

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

## Status

(List prior U.S. Applications)

<u>(Application Serial No.)</u>	<u>(Filing Date)</u>
<u>(Application Serial No.)</u>	<u>(Filing Date)</u>
<u>(Application Serial No.)</u>	<u>(Filing Date)</u>

 Patented  Pending  Abandoned Patented  Pending  Abandoned Patented  Pending  Abandoned

I hereby appoint the following attorney(s) connected with:  
Patent and Trademark Office connected with:

23850

PATENT TRADEMARK OFFICE

Please direct all communications to the following address:

23850

PATENT TRADEMARK OFFICE

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18 of the United States Code, 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(See note C)	Full name of sole or first inventor (given name, family name)	Shizuo KIMURA
Inventor's signature	<u>Shizuo Kimura</u>	Date April 5, 2006
Residence	Saitama-shi, Saitama-ken, Japan	Citizenship JAPAN
Post Office Address	c/o HONDA ENGINEERING KABUSHIKI KAISHA, 10-1, Shinsayama 1-chome, Sayama-shi, Saitama-ken 350-1381 Japan	
Full name of second inventor (given name, family name)	Kenzo TAKEDA	
Inventor's signature	<u>Kenzo Takeda</u>	Date April 5, 2006
Residence	Utsunomiya-shi, Tochigi-ken, Japan	Citizenship JAPAN
Post Office Address	c/o HONDA ENGINEERING KABUSHIKI KAISHA, 10-1, Shinsayama 1-chome, Sayama-shi, Saitama-ken 350-1381 Japan	
Full name of third inventor (given name, family name)	Ikuro KATO	
Inventor's signature	<u>Ikuro Kato</u>	Date April 5, 2006
Residence	Kumagaya-shi, Saitama-ken, Japan	Citizenship JAPAN
Post Office Address	c/o HONDA ENGINEERING KABUSHIKI KAISHA, 10-1, Shinsayama 1-chome, Sayama-shi, Saitama-ken 350-1381 Japan	
Full name of fourth inventor (given name, family name)	Yukio UCHIYAMA	
Inventor's signature	<u>Yukio Uchiyama</u>	Date April 5, 2006
Residence	Higashimatsuyama-shi, Saitama-ken, Japan	Citizenship JAPAN
Post Office Address	c/o HONDA ENGINEERING KABUSHIKI KAISHA, 10-1, Shinsayama 1-chome, Sayama-shi, Saitama-ken 350-1381 Japan	
Full name of fifth inventor (given name, family name)	Tadashi GOTO	
Inventor's signature	<u>Tadashi Goto</u>	Date 06-04-05 April 5, 2006
Residence	Hidaka-shi, Saitama-ken, Japan	Citizenship JAPAN
Post Office Address	c/o HONDA ENGINEERING KABUSHIKI KAISHA, 10-1, Shinsayama 1-chome, Sayama-shi, Saitama-ken 350-1381 Japan	

Inventor's signature A. yuki Kakiya Date Apr. 3, 2006  
Residence Shioya-gun, Tochigi-ken, Japan Citizenship JAPAN  
Post Office Address c/o HONDA ENGINEERING KABUSHIKI KAISHA,  
10-1, Shinsayama 1-chome, Sayama-shi, Saitama-ken 350-1381 Japan

Full name of seventh inventor (given name, family name) Kiyoshi SATOU  
Inventor's signature Kiyoshi Satou Date April 3, 2006  
Residence Hamamatsu-shi, Shizuoka-ken, Japan Citizenship JAPAN  
Post Office Address c/o HONDA GIKEN KOGYO KABUSHIKI KAISHA, HAMAMATSU,  
13-1, Aoihigashi 1-chome, Hamamatsu-shi, Shizuoka-ken 433-8501 Japan

Full name of eighth inventor (given name, family name) Naotake MARUI  
Inventor's signature Naotake Marui Date April 3, 2006  
Residence Hamamatsu-shi, Shizuoka-ken, Japan Citizenship JAPAN  
Post Office Address c/o HONDA GIKEN KOGYO KABUSHIKI KAISHA, HAMAMATSU,  
13-1, Aoihigashi 1-chome, Hamamatsu-shi, Shizuoka-ken 433-8501 Japan

Full name of ninth inventor (given name, family name) Shinichi OHNAKA  
Inventor's signature Shinichi Ohnaka Date April 5, 2006  
Residence Utsunomiya-shi, Tochigi-ken, Japan Citizenship JAPAN  
Post Office Address c/o HONDA ENGINEERING KABUSHIKI KAISHA,  
10-1, Shinsayama 1-chome, Sayama-shi, Saitama-ken 350-1381 Japan

Full name of tenth inventor (given name, family name) Tatsuo YAMANAKA  
Inventor's signature Tatsuo Yamana Date April 3, 2006  
Residence Hamamatsu-shi, Shizuoka-ken, Japan Citizenship JAPAN  
Post Office Address c/o HONDA GIKEN KOGYO KABUSHIKI KAISHA, HAMAMATSU,  
13-1, Aoihigashi 1-chome, Hamamatsu-shi, Shizuoka-ken 433-8501 Japan

Full name of eleventh inventor (given name, family name) Jin FUKUDA  
Inventor's signature Jin Fukuda Date April 6, 2006  
Residence Kawachi-gun, Tochigi-ken, Japan Citizenship JAPAN  
Post Office Address c/o HONDA ENGINEERING KABUSHIKI KAISHA,  
10-1, Shinsayama 1-chome, Sayama-shi, Saitama-ken 350-1381 Japan  
~~Jin Fukuda April 06, 2006 J.F.~~

Full name of twelfth inventor (given name, family name) Taisei WAKISAKA  
Inventor's signature Taisei Wakisaka Date April 6, 2006  
Residence Kawachi-gun, Tochigi-ken, Japan Citizenship JAPAN  
Post Office Address c/o HONDA ENGINEERING KABUSHIKI KAISHA,  
10-1, Shinsayama 1-chome, Sayama-shi, Saitama-ken 350-1381 Japan

Full name of thirteenth inventor (given name, family name) Haruo MACHIDA  
Inventor's signature Haruo Machida Date April 6, 2006  
Residence Hiki-gun, Saitama-ken, Japan Citizenship JAPAN  
Post Office Address c/o HONDA ENGINEERING KABUSHIKI KAISHA,  
10-1, Shinsayama 1-chome, Sayama-shi, Saitama-ken 350-1381 Japan



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **KIMURA, Shizuo, et al.**

Group Art Unit: **Unassigned**

Serial No.: **10/560,835**

Examiner: **Unassigned**

Filed: **December 15, 2005**

For. **WHEEL RIM, WHEEL, AND METHODS OF PRODUCING THEM**

**REQUEST TO CORRECT INVENTORSHIP**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: May 31, 2006

Sir:

Applicants respectfully request that the following names be added as inventors to the above-referenced application: Jin FUKUDA, Taisei WAKISAKA, and Haruo MACHIDA.

A Statement from each added inventor, a new Declaration, a written consent of the Assignee and the petition fee of \$130.00 as set forth in 37 CFR § 1.17(i) is attached herewith.

In the event any additional fees are required in connection with this paper, please charge Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, KRATZ, QUINTOS,  
HANSON & BROOKS, LLP

*Willie L. Brooks*  
William L. Brooks  
Attorney for Applicant  
Reg. No. 34,129

WLB/ak  
Atty. Docket No. **050828**  
Suite 1000  
1725 K Street, N.W.  
Washington, D.C. 20006  
(202) 659-2930



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PATENT TRADEMARK OFFICE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **KIMURA, Shizuo, et al.**

Group Art Unit: **Unassigned**

Serial No.: **10/560,835**

Examiner: **Unassigned**

Filed: **December 15, 2005**

For. **WHEEL RIM, WHEEL, AND METHODS OF PRODUCING THEM**

**CONSENT OF ASSIGNEE TO CHANGE OF INVENTORSHIP**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, Motoatsu Shiraishi (name), Senior Managing and Representative Director (title) of HONDA MOTOR CO., LTD.,

LTD., 1-1, Minami-Aoyama 2-chome, Minato-ku, Tokyo 107-8556, Japan, the sole Assignee of the above-referenced patent application, hereby consent to adding Jin FUKUDA, Taisei WAKISAKA and Haruo MACHIDA as joint inventors of U.S. Application Serial No.

10/560,835.

*Motoatsu Shiraishi*

Motoatsu SHIRAISHI  
Senior Managing and Representative Director  
HONDA MOTOR CO., LTD.  
1-1, Minami-Aoyama 2-chome,  
Minato-ku, Tokyo 107-8556, Japan

April 10, 2006

Date

WLB/ak  
Atty. Docket No. **050828**  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Group Art Unit: **Unassigned**

Serial No.: **10/560,835**

Examiner: **Unassigned**

Filed: **December 15, 2005**

For. **WHEEL RIM, WHEEL, AND METHODS OF PRODUCING THEM**

**STATEMENT OF INVENTOR**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, Jin FUKUDA, hereby confirm that I am an inventor of the above-referenced patent application, and the error in inventorship constituted by omission of my name as an original inventor in the original Declaration filed with this application was necessitated by amendment of the claims and the inventorship error occurred without deceptive intention.

Jin Fukuda  
Jin FUKUDA

April 6, 2006  
Date

WLB/ak  
Atty. Docket No. 050828  
Suite 1000  
1725 K Street, N.W.  
Washington, D.C. 20006  
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23850  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **KIMURA, Shizuo, et al.**

Group Art Unit: **Unassigned**

Serial No.: **10/560,835**

Examiner: **Unassigned**

Filed: **December 15, 2005**

For: **WHEEL RIM, WHEEL, AND METHODS OF PRODUCING THEM**

**STATEMENT OF INVENTOR**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, Taisei WAKISAKA, hereby confirm that I am an inventor of the above-referenced patent application, and the error in inventorship constituted by omission of my name as an original inventor in the original Declaration filed with this application was necessitated by amendment of the claims and the inventorship error occurred without deceptive intention.

Taisei Wakisaka  
Taisei WAKISAKA

April 6, 2006  
Date

WLB/ak  
Atty. Docket No. 050828  
Suite 1000  
1725 K Street, N.W.  
Washington, D.C. 20006  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **KIMURA, Shizuo, et al.**

Group Art Unit: **Unassigned**

Serial No.: **10/560,835**

Examiner: **Unassigned**

Filed: **December 15, 2005**

For. **WHEEL RIM, WHEEL, AND METHODS OF PRODUCING THEM**

**STATEMENT OF INVENTOR**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, Haruo MACHIDA, hereby confirm that I am an inventor of the above-referenced patent application, and the error in inventorship constituted by omission of my name as an original inventor in the original Declaration filed with this application was necessitated by amendment of the claims and the inventorship error occurred without deceptive intention.

Haruo Machida  
Haruo MACHIDA

April 6, 2006  
Date

WLB/ak  
Atty. Docket No. **050828**  
Suite 1000  
1725 K Street, N.W.  
Washington, D.C. 20006  
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